

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

APPLICANT(S): Bantz, et. al.

SERIAL NO.: 09/823,049

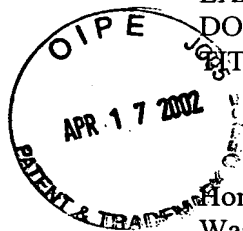
FILING DATE: March 30, 2001

EXAMINER: Unknown

DOCKET NO.: YOR920010074US1

TITLE: Method and Apparatus for Performing Emergency Shutdown of a Malfunctioning Computer System Saving All Open File, Data, and Work in Progress to a Remote Data Storage Business Entity

Commissioner of Patents and Trademarks
Washington, D.C. 20231



RECEIVED
APR 29 2002
Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

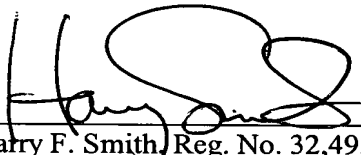
Dear Sir:

Upon review of the above-identified filing receipt (copy enclosed), the following error is noted:

Please correct the Attorney Docket Number to read "YOR920010074US1".

Should any fee be required please charge deposit account number 50-1924 for the required amount.

Respectfully submitted,

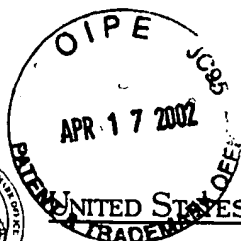

Harry F. Smith Reg. No. 32,493
HARRINGTON & SMITH, LLP
1809 Black Rock Turnpike
Fairfield, CT 06432
Telephone: (203)366-4084
Facsimile: (203)366-4109
email: HSmith@HSPatent.com
Customer No.: 29683

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service on April 10, 2002 as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.


Name of person mailing correspondence


Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/823,049	03/30/2001	2133	942	YOR920010700US1	3	24	5

CONFIRMATION NO. 1048

FILING RECEIPT

OC000000066088802

Harry F. Smith, Esq.
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
10th Floor
One Landmark Square
Stamford, CT 06901-2682

RECEIVED

APR 29 2002

Technology Center 2100

Date Mailed: 05/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David F. Bantz, Chappaqua, NY;
Steven Mastrianni, Unionville, CT;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/17/2001

Projected Publication Date: 10/03/2002

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED

OHLANDT, GREELEY,
RUGGIERO & PERLE

Method and apparatus for performing emergency shutdown of a malfunctioning computer system saving all open files, data, and work in progress to a remote data storage business entity

Preliminary Class

714

Data entry by : HAILE, TSEHAI

Team : OIPE

Date: 05/17/2001

~~_____~~

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

FILE COPY

CONFIRMATION NO. 1048



Bib Data Sheet

SERIAL NUMBER 09/823,049	FILING DATE 03/30/2001 RULE	CLASS 714	GROUP ART UNIT 2153	ATTORNEY DOCKET NO. YOR920010074US1
APPLICANTS David F. Bantz, Chappaqua, NY; Steven Mastrianni, Unionville, CT;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 05/17/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no		STATE OR COUNTRY NY	SHEETS DRAWING 3	TOTAL CLAIMS 24
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				INDEPENDENT CLAIMS 5
Verified and Acknowledged Examiner's Signature _____ Initials _____				
ADDRESS Harry F. Smith, Esq. Ohlandt, Greeley, Ruggiero & Perle, L.L.P. 10th Floor One Landmark Square Stamford ,CT 06901-2682				
TITLE Method and apparatus for performing emergency shutdown of a malfunctioning computer system saving all open files, data, and work in progress to a remote data storage business entity				
FILING FEE RECEIVED 942	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	